



PATENT
Docket No. GZ 2104.20
GZ Ref. 5040 US2

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Dated: August 21, 2002

Mary R. Zimmerman
Mary R. Zimmerman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Charles A. NICOLETTE

Serial No.: 10/079,699

Filing Date: February 19, 2002

For: PAR-3 COMPOUNDS FOR THERAPY
AND DIAGNOSIS AND METHODS
FOR USING SAME

Examiner: Not Yet Assigned

Group Art Unit: 1614

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Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.56, the references listed on the attached forms PTO-1449a and PTO-1449b are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. The Examiner is requested to make these documents of record.

I. Timing of the Information Disclosure Statement:

This Information Disclosure Statement is filed:

- ☐ With the new patent application submitted herewith (37 C.F.R. § 1.97(a)).
- ☐ Within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 C.F.R. § 1.491.

- ☒ Before the mailing date of a first Office action on the merits. In the event, however, that an Office Action has crossed in the mail with this Information Disclosure Statement, the Commissioner is hereby authorized to charge Deposit Account No. 50-1189 for any fees required pursuant to 37 C.F.R. §§ 1.17(p) or 1.17(i)(1).

This Information Disclosure Statement is filed:

- ☐ After the first Office Action and more than three months after the application's filing date; or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and the Commissioner is hereby authorized to charge Deposit Account No. [] for the fee (\$180) set forth in 37 C.F.R. § 1.17(p) and any additional required fees.

This Information Disclosure Statement is filed:

- ☐ After the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee (\$180.00) set forth in 37 C.F.R. § 1.17(i)(1) and a certification as specified in 37 C.F.R. § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the Information Disclosure Statement.

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was first cited in any communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

II. Copies of the Cited Items:

- ☐ Copies of all of the items listed on the attached Form PTO-1449 are enclosed.
- ☐ Copies of only the following items listed on the attached Form PTO-1449 are enclosed: _____.
- ☒ Copies of the items listed in the attached Form PTO-1449a and b are not supplied because they were previously cited by or submitted to the Patent Office in a prior Application No. 09/931,969 filed August 17, 2001 and relied

upon in this application for an earlier filing date under 35 U.S.C § 120.
See 37 C.F.R. § 1.98(d).

- ☐ Copies of those items which are marked with an asterisk (**) in the attached Form PTO-1499 were cited in a foreign examination report in a related case. A copy of the search report and the cited references not already of record in this application are attached hereto.

III. Concise Explanation of Relevance:

- ☒ A concise explanation of relevance of the items listed on Form PTO-1449 is not given.
- ☐ A concise explanation of relevance of [some of] the items listed on Form PTO-1449 is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references (copy attached).

IV. Related Applications:

- ☒ Applicants bring to the Office's attention the following related, co-pending application(s): U.S. Serial No.: 09/931,969, filed August 17, 2001 and PCT Application Nos. PCT/US01/25708 and PCT/US02/05322, filed August 17, 2001 and February 19, 2002, respectively.

V. Conclusion:

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO 1449 with initials or other appropriate marks. The Commissioner is hereby authorized to charge Deposit Account No. 50-1189, billing reference number: 19442-7254 for any additional fees required in connection with the filing of this Information Disclosure Statement.

Respectfully submitted,

Dated: Aug. 21, 2002

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

Complete if Known

Application Number	10/079,699
Filing Date	February 19, 2002
First Named Inventor	Charles A NICOLETTE
Art Unit	1614
Examiner Name	Not Yet Assigned
Attorney Docket Number	GZ 2104.20

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-4,683,195	07/28/87	Mullis et al.	
	2	US-4,683,202	07/28/87	Mullis	
	3	US-4,754,065	06/28/88	Levenson et al.	
	4	US-4,800,159	01/24/89	Mullis et al.	
	5	US-5,440,013	08/08/95	Kahn	
	6	US-5,837,249	11/17/98	Heber-Katz et al.	

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	7	WO 96/23060	08/01/96	The Government of the United States of America		
	8	EP 0 702 082 A1	03/20/96	Hagiware et al.		

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¹ Applicant's unique citation designation number (optional) ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3) ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 4

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Application Number	10/079,699
Filing Date	February 19, 2002
First Named Inventor	Charles A. NICOLETTE
Art Unit	1614
Examiner Name	Not Yet Assigned
Attorney Docket Number	GZ 2104.20

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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	1	ALTMAN, J.D. et al. "Phenotypic analysis of antigen-specific T lymphocytes" <i>Science</i> (1996) 274(5284) :94-96	
	2	BERTONI, R. et al. "Human class I supertypes and CTL repertoires extend to chimpanzees" <i>J. Immunol.</i> (1998) 161 :4447-4455	
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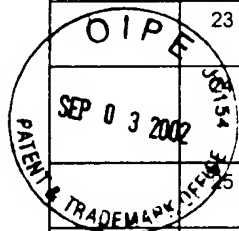
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	21	HENRIKSON, K.P. et al. "Role of thrombin receptor in breast cancer invasiveness" <i>British J Cancer</i> (1999) 79 (3/4):401-406	
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	44	NAGAI, U. and K. SATO "Synthesis of a bicyclic dipeptide with the shape of β -turn central part" <i>Tetrahedron Lett.</i> (1985) 26 (5):647-650	
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	49	PAGLIA, P. et al. "Murine dendritic cells loaded in vitro with soluble protein prime cytotoxic T lymphocytes against tumor antigen in vivo" <i>J. Exp. Med.</i> (1996) 183 :317-322	
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